

**CALIFORNIA SMALL BUSINESS LOAN GUARANTEE PROGRAM (SBLGP)
STATE SMALL BUSINESS CREDIT INITIATIVE (SSBCI)
BORROWER/INVESTEE SEX OFFENDER CERTIFICATION**

Small Business Borrower Legal Name:

This certification is required by Section 3011(c)(2) of the Small Business Jobs Act of 2010 from any private entity that receives a loan, a loan guarantee, or other financial assistance using funds received by a participating State under the State Small Business Credit Initiative.

As required by Section 3011(c)(2) of the Small Business Jobs Act of 2010, the private entity hereby certifies to the participating State that the Principals of the private entity have not been convicted of a sex offense against a minor (as such terms are defined in section 111 of the Sex Offender Registration and Notification Act (42 U.S.C. 16911)). For the purposes of this Certification, Principal means the following: if a sole proprietorship, the proprietor; if a partnership, each managing partner and each partner who is a natural person and holds a 20% or more ownership interest in the partnership; and if a corporation, limited liability company, association or a development company, each director, each of the five most highly compensated executives or officers of the entity, and each natural person who is a direct or indirect holder of 20% or more of the ownership stock or stock equivalent of the entity.

Authorized Signatory

Guarantor Signature

Print Name & Title

Print Name & Title

Date

Date

For Eligible Passive Real Estate Investment Loans Only:

Eligible Passive Company (EPC) Name

Operating Company (OC) Name

Authorized Signatory

Authorized Signatory

Print Name & Title

Print Name & Title

Date

Date

Instructions:

This certification must be executed prior to or at the time of closing of the loan guarantee transaction. Use additional forms for signatures as needed. A copy of it must be provided to IBank, and the original must be kept by the FDC as part of the loan guarantee file.